

CC/ Rce/2876/

PTO/SB/30 (09-03)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>Request For Continued Examination (RCE) Transmittal</b>  Address to: MS RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	<b>Application Number</b>	09/966,592-Conf. #4001
	<b>Filing Date</b>	October 1, 2001
	<b>First Named Inventor</b>	Akinobu Nakabo
	<b>Art Unit</b>	2876
	<b>Examiner Name</b>	Steve S. Paik
	<b>Attorney Docket No.</b>	O3020.0269/P269

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

ii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed

i. ☒ Amendment/Reply

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/Declaration(s)

iv. ☐ Other \_\_\_\_\_

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. ☐ Other \_\_\_\_\_

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. \_\_\_\_\_

i. ☒ RCE fee required under 37 CFR 1.17(e)

ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)

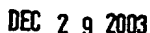
iii. ☐ Other \_\_\_\_\_

b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed

c. ☒ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED			
Name (Print/Type)	Thomas J. D'Amico	Registration No. (Attorney/Agent)	28,371
Signature		Date	December 29, 2003

01/02/2004 CNGUYEN 00000016 09966592  
01 FC:1801 770.00 OP



Approved for use through 7/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

*Effective 10/01/2003. Patent fees are subject to annual revision.*

☐ Applicant claims small entity status. See 37 CFR 1.27

<b>TOTAL AMOUNT OF PAYMENT</b>	<b>(\$)</b>	<b>770.00</b>
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Application Number	09/966,592-Conf. #4001
Filing Date	October 1, 2001
First Named Inventor	Akinobu Nakabo
Examiner Name	Steve S. Paik
Art Unit	2876
Attorney Docket No.	O3020.0269/P269

**FEE CALCULATION (continued)** Deposit Account:

04-1073

Dickstein Shapiro Morin &  
Oshinsky LLP

**The Director is authorized to:** (check all that apply)

<input type="checkbox"/>	Charge fee(s) indicated below	<input checked="" type="checkbox"/>	Credit any overpayments
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☐ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### 3. ADDITIONAL FEES

Large Entity	Small Entity
<p>1. <b>Revenue Recognition</b></p> <p>Large entities use the <b>percentage of completion method</b> for long-term contracts, recognizing revenue as work progresses. Small entities often use the <b>cost of sales method</b>, recognizing revenue only when the contract is completed.</p>	<p>1. <b>Revenue Recognition</b></p> <p>Small entities typically use the <b>cost of sales method</b> for long-term contracts, recognizing revenue only when the contract is completed.</p>
<p>2. <b>Inventory Valuation</b></p> <p>Large entities use <b>FIFO (First In, First Out)</b> for inventory valuation. Small entities may use <b>LIFO (Last In, First Out)</b> for tax purposes.</p>	<p>2. <b>Inventory Valuation</b></p> <p>Small entities may use <b>LIFO (Last In, First Out)</b> for tax purposes.</p>
<p>3. <b>Depreciation</b></p> <p>Large entities use <b>MACRS (Modified Accelerated Cost Recovery System)</b> for depreciation. Small entities may use <b>straight-line depreciation</b>.</p>	<p>3. <b>Depreciation</b></p> <p>Small entities may use <b>straight-line depreciation</b>.</p>
<p>4. <b>Bad Debt Expense</b></p> <p>Large entities use the <b>percentage of sales method</b> for bad debt expense. Small entities may use the <b>direct write-off method</b>.</p>	<p>4. <b>Bad Debt Expense</b></p> <p>Small entities may use the <b>direct write-off method</b>.</p>
<p>5. <b>Research and Development</b></p> <p>Large entities use the <b>capitalization method</b> for research and development costs. Small entities may use the <b>expensing method</b>.</p>	<p>5. <b>Research and Development</b></p> <p>Small entities may use the <b>expensing method</b>.</p>

<b>Fee Code</b>	<b>Fee (\$)</b>	<b>Fee Code</b>	<b>Fee (\$)</b>	<b>Fee Description</b>	<b>Fee Paid</b>
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

<b>SUBTOTAL (1)</b>	<b>(\$)</b>	<b>0.00</b>
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	Extra Claims	Fee from below	Fee Paid
1. 1970-1971			
2. 1971-1972			
3. 1972-1973			
4. 1973-1974			
5. 1974-1975			
6. 1975-1976			
7. 1976-1977			
8. 1977-1978			
9. 1978-1979			
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42. 2011-2012			
43. 2012-2013			
44. 2013-2014			
45. 2014-2015			
46. 2015-2016			
47. 2016-2017			
48. 2017-2018			
49. 2018-2019			
50. 2019-2020			
51. 2020-2021			
52. 2021-2022			
53. 2022-2023			
54. 2023-2024			
55. 2024-2025			
56. 2025-2026			
57. 2026-2027			
58. 2027-2028			
59. 2028-2029			
60. 2029-2030			
61. 2030-2031			
62. 2031-2032			
63. 2032-2033			
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70. 2039-2040			
71. 2040-2041			
72. 2041-2042			
73. 2042-2043			
74. 2043-2044			
75. 2044-2045			
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89. 2058-2059			
90. 2059-2060			
91. 2060-2061			
92. 2061-2062			
93. 2062-2063			
94. 2063-2064			
95. 2064-2065			
96. 2065-2066			
97. 2066-2067			
98. 2067-2068			
99. 2068-2069			

Total Claims	20	-20** =	Claims	below	Feet and
					0.00

Total Claims	20	20				3.00
Independent	0	0**				0.00

Claims	3	-3 <sup>0.00</sup> =		x		=	0.00

Multiple Dependent   =  

Large Entity	Small Entity
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Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
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Code	(\$)	Code	(\$)	
1202	18	2202	9	Claims in excess of 20

1202	10	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3

1201	50	2201	40	Independent claims in excess of 5
1203	200	2203	145	Multiple dependent claim, if not paid

1203	290	2203	145	Multiple dependent claims, if not paid
1204	86	2204	43	** Reissue independent claims

1204	30	1104	10	Released independent claims over original patent
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1205	18	2205	9	** Reissue claims in excess of 20
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	and over original patent
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<b>SUBTOTAL (2)</b>	<b>(\$)</b>	<b>0.00</b>
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**\*\*or number previously paid, if greater; For Reissues, see above**

	Large Entity	Small Entity
1. <b>Revenue Recognition</b>	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. <b>Cost of Sales</b>	Cost of sales is recognized when the revenue is recognized, and it is measured at the cost incurred to produce the goods or services.	Cost of sales is recognized when the revenue is recognized, and it is measured at the cost incurred to produce the goods or services.
3. <b>Impairment of Financial Assets</b>	Impairment of financial assets is recognized when there is a decrease in the fair value of the asset, and it is measured at the difference between the carrying amount and the fair value.	Impairment of financial assets is recognized when there is a decrease in the fair value of the asset, and it is measured at the difference between the carrying amount and the fair value.
4. <b>Impairment of Non-Financial Assets</b>	Impairment of non-financial assets is recognized when there is a decrease in the carrying amount of the asset, and it is measured at the difference between the carrying amount and the fair value.	Impairment of non-financial assets is recognized when there is a decrease in the carrying amount of the asset, and it is measured at the difference between the carrying amount and the fair value.
5. <b>Provisions</b>	Provisions are recognized when there is a liability of uncertain timing or amount, and it is measured at the best estimate of the amount required to settle the liability.	Provisions are recognized when there is a liability of uncertain timing or amount, and it is measured at the best estimate of the amount required to settle the liability.
6. <b>Share-based Payments</b>	Share-based payments are recognized when the entity receives services from employees or directors, and it is measured at the fair value of the equity instruments issued.	Share-based payments are recognized when the entity receives services from employees or directors, and it is measured at the fair value of the equity instruments issued.
7. <b>Financial Instruments</b>	Financial instruments are recognized when the entity enters into a contract that gives rise to a financial asset or liability, and it is measured at the fair value of the instrument.	Financial instruments are recognized when the entity enters into a contract that gives rise to a financial asset or liability, and it is measured at the fair value of the instrument.
8. <b>Income Tax</b>	Income tax is recognized when the entity has a liability to pay tax, and it is measured at the amount of tax payable.	Income tax is recognized when the entity has a liability to pay tax, and it is measured at the amount of tax payable.
9. <b>Other</b>	Other items are recognized when they are required by the accounting standards, and they are measured at the fair value of the item.	Other items are recognized when they are required by the accounting standards, and they are measured at the fair value of the item.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge – late filing fee or oath	
1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive – unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	770.00
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

<b>SUBTOTAL (3)</b>	<b>(\$)</b>	<b>770.00</b>
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(Complete (if applicable))

Name (Print/Type)	Thomas J. D'Amico
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Registration No. (Attorney/Agent)	28,371
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**Telephone** (202) 828-2232

**Signature**

Date	December 29, 2003
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AMENDMENT TRANSMITTAL LETTER

Docket No.  
O3020.0269/P269

Application No.  
09/966,592-Conf. #4001

Filing Date  
October 1, 2001

Examiner  
Steve S. Paik

Art Unit  
2876

Applicant(s): Akinobu Nakabo

Invention: IC CONTACT UNIT AND IC CARD READER

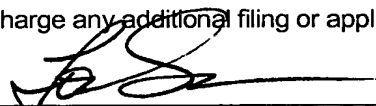
TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	20	- 20 =		x	0.00
Independent Claims	3	- 3 =		x	0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					0.00

- ☒ Large Entity ☐ Small Entity
- ☒ No additional fee is required for this amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 04-1073  
as described below. A duplicate copy of this sheet is enclosed.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

  
Thomas J. D'Amico  
Attorney Reg. No.: 28,371

Dated: December 29, 2003

DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP  
2101 L Street NW  
Washington, DC 20037-1526  
(202) 828-2232



Docket No.: O3020.0269/P269  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re Patent Application of:  
Akinobu Nakabo

Application No.: 09/966,592

Art Unit: 2876

Filed: October 1, 2001

Examiner: S. Paik

For: IC CONTACT UNIT AND IC CARD  
READER

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**AMENDMENT**

MS RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INTRODUCTORY COMMENTS**

In response to the Office Action dated July 25, 2003 (Paper No. 8), finally rejecting claims 1-20, please amend the above-identified U.S. patent application as follows:

**Amendments to the Claims** begin on page 2 of this paper.

**Remarks** begin on page 8 of this paper.